Docket No.: 050990.0201.PCUS02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Garlich, Joseph R., et al.

Art Unit: TBA

Int. Application No.: PCT/US2005/011626

Examiner: TBA

Conf. No.:

TBA

Title:

PTEN INHIBITORS

Int. Filing Date:

06 April 2005

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§ 1.56, 1.97 AND 1.98

Dear Sir:

In compliance with 37 C.F.R. § 1.97 and the continuing duty of disclosure under 37 C.F.R. § 1.56, Applicants call to the attention of the Examiner the references listed on the attached forms. It is respectfully requested that this Information Disclosure Statement be entered and the documents listed on the attached forms be considered by the Examiner and made of record.

In accordance with 37 C.F.R. § 1.97(g), this Information Disclosure Statement is not to be construed as a representation that a search has been made. In accordance with 37 C.F.R. § 1.97(h), this Information Disclosure Statement is not to be construed as an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement does not include copies of cited U.S. patents and U.S. patent publications pursuant to 37 C.F.R. § 1.98(a)(2).

This Information Disclosure Statement is being filed before the mailing of a first Office action on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b)(3). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this document, the Commissioner is hereby authorized to deduct the fees from Deposit Account No. 50-1662 referencing the above docket number.

Respectfully submitted,

POLSINELLI SHALTON WELTE SUELTHAUS PC

Dated: October 6, 2006 By: /Teddy C. Scott, Jr., Ph.D./

Teddy C. Scott, Jr., Ph.D. Registration No.: 53,573 Customer No.: 37808

POLSINELLI SHALTON WELTE SUELTHAUS PC Two Prudential Plaza 180 N. Stetson Ave., Ste. 4525 Chicago, IL 60601 312.819.1900 (main) 312.873.3613 (direct) Approved for use through 07/31/2006, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

050990.0201.PCUS02

TBA

Under the Pepervork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

Complete if Known

Application Number
TBA

Fling Date
TBA

First Named Inventor
Joseph R. Garlich
Art Unit
TBA

Examiner Name

Attorney Docket Number

(Use as many sheets as necessary)

US-

of 2

Sheet

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevar Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-5,845,998	12/8/98	Schleven	
		US- 5,798,374	8/25/98	Tang et al.	
		US-			
		US-			
		บร-			
		US-			
		US-			
		US-			
		บร-			
		US-			
		US-			· ·
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document Publication		Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁸ (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Refevant Figures Appear	T [®]
		WO 2002/32896	4/25/02	Novo Nordisk		
	 					
	-					
	 					

Examiner	Date
Signature	Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 6880. Draw line through clation if not in conformance and not considered, include copy of this form with next communication to applicant. * Applicant's unique citation signation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspio.gog or MPEP 901.04. * Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japaneses plants documents, it is indication of the year of the region of the Emperor must proceed the serial number of the patent document. Kind of document that the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible, *Applicant is to place a check mark here if English language Translation is a statched.

This collection of information is required by 37 CFR 1,97 and 1,98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1,14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Application Number | Filing Date | First Named Inventor | Joseph R. Garlich | Art Unit | TBA | Examiner Name | TBA | Attomey Docket Number | 050990.0201.PCUS02

CERTIFICATION STATEMENT

	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).
OR	
₹]	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filling of the information disclosure statement. See 37 CFR 1.97(e)(2).

- See attached certification statement.
- Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the orm of the signature.

orm or the signature

ignature	/Teddy C. Scott/	Date (YYYY-MM-DD)	2006-10-06
lame/Print	Teddy C. Scott	Registration Number	53,573

his collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the

ublic which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR .14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed pplication form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you equire to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. leatent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND EES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO:** Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.